66-17-04

IFW

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/696,727 **TRANSMITTAL** Filing Date 10/28/03 **FORM** First Named Inventor Simonson, Rush Art Unit 3732 (to be used for all correspondence after initial filing) **Examiner Name** Attorney Docket Number 080083.00004 Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance communication Fee Transmittal Form Drawing(s) to Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): Return Receipt Postcard Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Michael J. Colitz, III Individual name Signature Date 15. 2004 CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Michael J. Colitz, III Tune 15, 2004 Signature

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT:

Simonson, Rush E.

SERIAL NUMBER:

10/696,727

FILED:

10/28/03

FOR:

Improved Vertebral Implant Adapted for Posterior

Insertion

ATTORNEY DOCKET NO.:

080083.00004

TRANSMITTAL OF SUPPLEMENTAL INFORMATION $\underline{\text{DISCLOSURE STATEMENT}}$

Pursuant to the requirements of 37 C.F.R. §§ 1.56, 1.97, and 1.98, Applicant submits herewith copies of the patents, publications or other information of which he is aware, which he believes may be material to the examination of this application and in respect of which there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 CFR 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner.



The Commissioner is hereby authorized to charge any additional fees pursuant to 1.97(c)(2) to Deposit Account Number 50-1667.

Respectfully submitted,

Michael J. Colitz, III Registration No. 37,010 Holland & Knight LLP

Suite 4100

100 N. Tampa Street

Tampa, Florida 33602-3644 Telephone: 813/227-8500

Facsimile: 813/229-0134

CERTIFICATE OF MAILING

I HEREBY CERTIFY that the foregoing was placed in an envelope and mailed via first class mail, postage paid, to: U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Mail Stop DD, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202 this 15 day of June, 2004.

The Commissioner is hereby authorized to charge any additional fees which may be required at any time during the prosecution of this application without specific authorization, or credit any overpayment, to Deposit Account Number 50-1667.

Michael J. Colitz, III



Sheet 1 of 1

ATTY. DOCKET NO.	SERIAL NO.				
080083.00004	10/696,727				
APPLICANT: Rush Simonson					
FILING DATE: 10/28/03	GROUP ART UNIT: 3732				
	080083.00004 APPLICANT: Rush Simonson				

U.S. PATENT DOCUMENTS

EXAMINER INITIAL]	DOCUN	IENT N	UMBE	R		DATE	NAME	CLASS	SUBCLASS
		4	3	4	9	9	2	1	09/21/82	Kuntz		
	I											

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER						DATE	COUNTRY	CLASS	SUBCLASS	
					,						

OTHER DOCUMENTS (including Author, Title, Date, Pertinent Pages, etc.)

Examiner	Date Considered

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])

JUN 1 5 2004 00

EXPRESS MAIL CERTIFICATE

"EXPRESS MAIL" MAILING LABEL NUMBER: ER869631153

DATE OF DEPOSIT: 6-15-04

I HEREBY CERTIFY that the enclosed Transmittal Form, Transmittal of Supplemental Information Disclosure Statement, and return receipt postcard are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10, postage prepaid, on the date indicated above and is addressed to U.S. Patent and Trademark Office, 2011 South Clark Place, Customer Window, Mail Stop DD, Crystal Plaza Two, Lobby, Room 1B03, Arlington, VA 22202.

Michael J. Colitz, III

TPA1 #1279768 v1